



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspio.gov

FIRST NAMED APPLICANT APPLICATION NUMBER FILING OR 371 (c) DATE ATTORNEY DOCKET NUMBER

10/813,815

VOLPE AND KOENIG, P.C.

30 SOUTH 17TH STREET PHILADELPHIA, PA 19103

UNITED PLAZA, SUITE 1600

3624

03/31/2004

Ujjwal Manna

KUM-PT002

CONFIRMATION NO. 5043

FORMALITIES LETTER

OC000000012939439*

Date Mailed: 06/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings must be made on paper that has a white background (see 37 CFR 1.84 (e)). For example, drawings on graph paper, lined paper, or paper that has a non-white background are not acceptable. See Figure(s) 1-6.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

09/14/2004 SSITHIB1 00000021 10813815 01 FC:1051 130.00 OP Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Z. Arun

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (04-04) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/813,815 TRANSMITTAL Filing Date March 31, 2004 FORM First Named Inventor Manna et al. Art Unit 1723 (to be used for all correspondence after initial filing) Examiner Name Not Yet Known Attorney Docket Number KUM-PT002 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request See Remarks Section Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Copy of Notice to File Missing Parts of Nonprovisional Application; Original Fully Executed Declaration, Request for Response to Missing Parts/ Incomplete Application Corrected Filing Receipt, Copy of Marked-up Filing Receipt and Supplemental Application Data Sheet Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Louis Weinstein Reg. No. 20,477 Individual name Volpe and Koenig, P.C Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Louis Weinstein

Date Signature This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including

gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
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work Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

l (S) 240

Complete if Known				
Application Number	10/813,815			
Filing Date	March 31, 2004			
First Named Inventor	Manna et al.			
Examiner Name	Not Yet Known			
Art Unit	1723			
Attorney Docket No.	KUM-PT002			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check ☑ Credit card ☐ Money ☐ Other ☐ None	3. ADDITIONAL FEES					
Deposit Account:			Small			
Deposit 00.0400	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account 22-0493	1051	130	2051		Surcharge - late filing fee or oath	130
Deposit Volne and Kasnia D.C.	1052	50	2052	25	Surcharge - late provisional filing fee or	
Name Volpe and Roenig, P.C.		130	1053	130	cover sheet Non-English specification	
The Director is authorized to: (check all that apply)		2.520	1812		For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments		920*	1804	920*	Requesting publication of SIR prior to	
Charge any additional fee(s) or any underpayment of fee(s)					Examiner action	
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	110
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Pee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,330	2453	665	Petition to revive - unintentional	
Fee from		1,330	2501		Utility issue fee (or reissue)	
Extra Claims below Fee Paid Total Claims - = X =	1502	480	2502		Design issue fee	
Independent	1503	640	2503		Plant issue fee	
Claims ^	1460	130	1460		Petitions to the Commissioner	
Large Entity Small Entity	1807	50	1807		Processing fee under 37 CFR 1.17(q)	
Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt Recording each patent assignment per	\vdash
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	8021	40	8021	1 40	property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	1 325	(37 CFR 1.129(a)) For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims	1010	770	2010		examined (37 CFR 1.129(b))	
over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0	Other	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Redu	iced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 240	

SUBMITTED BY

Name (Print/Type)

Louis Weinstein

Registration No. (Attorney/Agent)

Signature

(Complete (if applicable))

Telephone 215-568-6400

Date 9/09/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

KUM-PT002

September 7, 2004



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Manna et al.

Application No.: 10/813,815

Confirmation No.: 5043

Filed:

March 31, 2004

For: DEVICE AND METHOD FOR NON-DISPERSIVE CONTACTING OF

LIQUID-LIQUID REACTIVE SYSTEM

Group:

1723

Examiner:

Not Yet Known

COMMUNICATION IN RESPONSE TO

Our File:

Date:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed from the Office on June 14, 2004, the following are enclosed:

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

- 1. Copy of Notice to File Missing Parts of Nonprovisional Application;
- 2. Original Fully Executed Declaration;
- 3. Petition for one (1) month Extension of Time;
- Request for Corrected Filing Receipt; 4.
- 5. Copy of marked-up filing receipt;



Applicant: Manna et al. **Application No.:** 10/813,815

Supplemental Application Data Sheet; and 5.

spling fre fito. 10 \$ Payment of the surcharge fee of \$130.00 (Form PTO-2038) for a large 6.

Prompt examination of the Application is respectfully requested.

Respectfully submitted,

Manna et al.

By_ Louis Weinstein

Registration No. 20,477

(215) 568-6400

Volpe and Koenig, P.C. United Plaza, Suite 1600 30 South 17th Street Philadelphia, PA 19103

entity.

LW/ns Enclosures (4)